

Customer No. 22,852
Attorney Docket No. 05999.0190

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Marco COLOMBO et al.

Application No.: **Not Yet Assigned**

Filed: **June 14, 2005**

National Stage of International Application No.
PCT/EP2002/014880 under 35 U.S.C. 371, for
PHOTOCHROMIC OPTICAL WAVEGUIDES
AND METHOD FOR THE PREPARATION
THEREOF

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)
)
) **Group Art Unit: Not Yet Assigned**

)
) **Examiner: Not Yet Assigned**
)
)

MAIL STOP PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Pursuant to 37 C.F.R. §§1.56 and 1.97(b), applicants bring to the Examiner's attention the documents listed on attached Form PTO/SB/08 and cited in the international search report. With exception of the U.S. patents, copies of the listed documents are attached. Applicants respectfully request that the Examiner consider the documents listed on attached Form PTO/SB/08 and indicate that they were considered by making an appropriate notation on this form. This Information Disclosure Statement is being filed with the above-referenced application.

The following are listed on the accompanying PTO/SB/08 and are in a non-English language:

1. Japanese Patent Publication No. JP 11-202144 – An English language translation of this document is enclosed. Also an English language abstract of this document is enclosed. The relevance of this document is also discussed at page 2 of the specification of the present application.

2. Japanese Patent Publication No. JP 2000-221351 – An English language translation of this document is enclosed. Also an English language abstract of this document is enclosed. The relevance of this document is discussed at page 2 of the specification of the present application.

3. French Patent Publication No. FR 2 774 998 - The relevance of this document is discussed at page 2 of the specification of the present application.

Enclosed also is an English-language international search report from the European Patent Office in the PCT international application, from which this national phase U.S. application is derived.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and applicants determine that the cited documents do not constitute "prior art" under United States law, applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such documents. Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed

documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

Dated: June 14, 2005

By: 

Ernest F. Chapman
Reg. No. 25,961

Enclosures
EFC/FPD/sci

IDS Form PTO/SB/08: Substitute for form 1449

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet

1

of

1

Complete if Known

Application Number	Not Yet Assigned
Filing Date	June 14, 2005
First Named Inventor	Marco COLOMBO et al.
Art Unit	Not Yet Assigned
Examiner Name	Not Yet Assigned
Attorney Docket Number	05999.0190

U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS

Examiner Initials	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Issue or Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-6,479,604 B1	11-12-2002	KIM et al.	

Note: Copies of the U.S. Patent Documents are not Required in IDS filed after October 21, 2004**FOREIGN PATENT DOCUMENTS**

Examiner Initials	Cite No. ¹	Foreign Patent Document Country Code ³ Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation ⁶
		EP 0 698 605 B1	02-28-1996	SAIKA		
		WO 02/06361 A2	01-24-2002	BRANDA et al.		
		JP 11-202144	07-30-1999	HAMADA		YES
		JP 2000-221351	08-11-2000	YONEDA		YES
		FR 2 774 998	08-20-1999	BITEAU et al.		NO

NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation ⁶
		IRIE, M., "Photochromic Compound," Patent Abstracts of Japan, JP. No. 07-082266, (March 3, 1995).	
		SUKEGAWA, T., "Polymeric Photochromatic Composition," Patent Abstracts of Japan, JP. No. 06-240242, (August 30, 1994).	
		YOSHIDA, T. et al., "Refractive Index Changes in Photochromic Diarylethene Dethene Derivatives in Polymethylmethacrylate Films," Journal of Photochemistry and Photobiology A: Chemistry, Vol. 95, pp. 265-270, (1996).	
Examiner Signature			Date Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.